

OCT 16 2006

PTO/SB/17 (07-08)

Approved for use through 01/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i>		Complete if Known	
		Application Number	09/575,580-Conf. #1265
		Filing Date	May 21, 2000
		First Named Inventor	
		Examiner Name	T. Q. Phan
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	2827
TOTAL AMOUNT OF PAYMENT (\$)		180.00	Attorney Docket No. A0312.70409US00

METHOD OF PAYMENT (check all that apply)						
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____		
<input checked="" type="checkbox"/> Deposit Account		Deposit Account Number: 23/2825		Deposit Account Name: Wolf, Greenfield & Sacks, P.C.		
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)						
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee				
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments				

FEES CALCULATION						
1. BASIC FILING, SEARCH, AND EXAMINATION FEES						
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0
					Fee (\$)	Fee (\$)
					50	25
					200	100
					360	180
2. EXCESS CLAIM FEES						
Fee Description						
Each claim over 20 (including Reissues)						
Each independent claim over 3 (including Reissues)						
Multiple dependent claims						
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		
- 20 =	x	=	=	Fee (\$)	Fee Paid (\$)	
HP = highest number of total claims paid for, if greater than 20.						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 =	x	=	=			
HP = highest number of independent claims paid for, if greater than 3.						
3. APPLICATION SIZE FEE						
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof		Fee (\$)	Fee Paid (\$)	
- 100 =	/50	(round up to a whole number) x		=	Fee Paid (\$)	
4. OTHER FEE(S)						
Non-English Specification, \$130 fee (no small entity discount)						
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00						

SUBMITTED BY				
Signature			Registration No. (Attorney/Agent)	27,900
Name (Print/Type)	Steven J. Henry		Date	October 16, 2006

1094243.1

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OCT 16 2006

**F A X C O V E R*********OFFICIAL FAX*********Date:** October 16, 2006 **Number of pages (including cover):** 37**To:** T. Q. Phan, U.S. Patent and Trademark Office**Fax No.:** (571) 273-8300**Serial No.:** 09/575,560**Title:** **METHOD AND APPARATUS FOR USE IN SWITCHED CAPACITOR SYSTEMS****From:** Steven J. Henry**Direct dial:** 617.646.8238**Our File #:** A0312.70409US00**CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that these documents are being transmitted via facsimile to the attention of Examiner T. Q. Phan, FAX number (571) 273-8300, at the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 C.F.R. §1.6(d), on the 16th day of October, 2006.

Steven J. Henry

ORIGINAL DOCUMENTS WILL NOT BE MAILED.

MESSAGE: Transmitted herewith is/are Request for Corrected Notice of Allowance, Claims Believed to be Allowed, Transmittal, Certificate of Transmission, Fee Transmittal, Information Disclosure Statement and cited reference..

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Wolf Greenfield Fax Number: 617.646.8646

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OCT 16 2006

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL
FORM

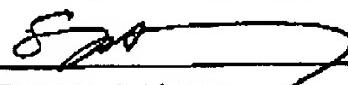
(to be used for all correspondence after initial filing)

		Application Number	09/575,560-Conf. #1265
		Filing Date	May 21, 2000
		First Named Inventor	Paul F. Ferguson
		Art Unit	2818
		Examiner Name	T. Q. Phan
Total Number of Pages In This Submission	4	Attorney Docket Number	A0312.70409US00

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Cited reference (21 pages) Request for Corrected Notice of Allowance (2 pages) Claims Believed to be Allowed (6 pages) Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.63		
Remarks		
The Director is hereby authorized to charge the IDS processing fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) to Deposit Account 23/2825.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	WOLF, GREENFIELD & SACKS, P.C.		
Signature			
Printed name	Steven J. Henry		
Date	October 16, 2006	Reg. No.	27,900

Certificate of Transmission Under 37 CFR 1.8

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: 10/16/06


Steven J. Henry, Registration No. 27,900

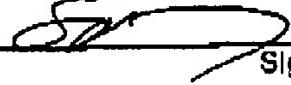
1088338.1

Application No. (if known): 09/675,560

Attorney Docket No.: A0312.70409US00

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on October 16, 2006
Date
Signature

Steven J. Henry

Typed or printed name of person signing Certificate

27,900
Registration Number, if applicable(617) 646-8238
Telephone Number

Note: The following papers are submitted:

Certificate of Transmission under 37 CFR 1.8 (1 page)
Fee Transmittal (1 page)
Transmittal form (1 page)
Information Disclosure Statement and PTO-1449 (4 pages)
Cited reference (21 pages)
Request for Corrected Notice of Allowance (2 pages)
Claims Believed to be Allowed (6 pages)

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OCT 16 2006

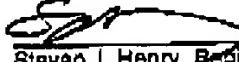
DOCKET NO.: A0312.70409US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul F. Ferguson, Jr. and Xavier S. Haurie
 Serial No.: 09/575,560
 Confirmation No.: 1265
 Filed: May 21, 2000
 For: METHOD AND APPARATUS FOR USE IN SWITCHED
 CAPACITOR SYSTEMS

Examiner: Trong Q. Phan
 Art Unit: 2818

Certificate of Transmission Under 37 CFR 1.8
 I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: 10/16/06


Steven J. Henry, Registration No. 27,809

Mail Stop AF
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed after the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311 and is being filed on or before payment of an Issue Fee.

The Applicant hereby states, as specified in 37 C.F.R. §1.97(e), that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart for this application not more than three months prior to the filing of this Statement.

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10/18/2006 AWONDAF1 00000027 232825 09575560

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Serial No.: 09/575,560
Conf. No.: 1265

- 2 -

Art Unit: 2818

The Director is hereby authorized to charge the IDS processing fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) to Deposit Account 23/2825.

PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by the office of the undersigned more than thirty (30) days prior to the filing of this Information Disclosure Statement.

PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

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Serial No.: 09/575,560
Conf. No.: 1265

- 3 -

Art Unit: 2818

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

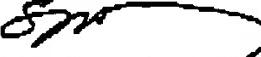
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:


Steven J. Henry, Reg. No. 27,900
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2206
Telephone: (617) 646-8000

Docket No.: A0312.70409US00
Date: 10/16/06
xNDDx

1089333.1

OCT 16 2006

FORM PTO-1449/A and B (modified PTO/SB/08)		APPLICATION NO.: 09/575,560	ATTY. DOCKET NO.: A0312.70409US00
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: May 21, 2000	CONFIRMATION NO.: 1265
		APPLICANT: Paul F. Ferguson, Jr. and Xavier S. Haurie	
		GROUP ART UNIT: 2818	EXAMINER: Trong Q. Phan
Sheet	1	of	1

U.S. PATENT DOCUMENTS.

FOREIGN PATENT DOCUMENTS

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		BURR BROWN CORPORATION, "Mixed Signal Products," 1996, BURR BROWN, USA, pages 3.264-3.282.	

EXAMINER: _____ **DATE CONSIDERED:** _____

[#] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.

* A copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]